

CÙRAM IS SLAINTE NAN EILEAN SIAR

INTEGRATION JOINT BOARD AUDIT COMMITTEE



Meeting date:	23 February 2023
Item:	4.1
Title:	Internal Audit Tracker Recommendation – Update Feb'23
Responsible Officer:	Nick Fayers, Chief Officer
Report Author:	Michelle McPhail, NHSWI Corporate Business Manager

1 Purpose

This is presented to the Integration Joint Board (IJB) for:

- Discussion

This report relates to a:

- Annual Operation Plan
- Emerging issue
- Government policy/directive
- NHS Board/Integration Joint Board Strategy or Direction

Competence:

- There are no legal, financial or other constraints associated with the report.

2 Report summary

2.1 Situation

The Audit Tracker is presented to the Audit Committee to asking Members to review and discuss the management responses and progress towards bringing each recommendation to a close.

2.2 Background

The Internal Audit Tracker contains 21 Audit recommendations ranging from audits undertaken between 19/20, 20.21 and 21/22.

2.3 Assessment

Tracker No	Audit	Status	Lead
T1-19/20	Risk Management	REQ – Timescale extended June 23	Chief Officer
T2-19/20		REQ – Timescale extended June.23	Chief Officer
T3-19/20		REQ – Timescale extended June 23	Chief Officer
T4-19/20		No update	Chief Officer
T5-19/20		REQ – Timescale extended to Mar'23	Corporate Business Manager
T6-19/20		REQ – Discuss & remove the recommendation	Chief Officer
T7-19/20	Financial Planning , Management & Savings	Ongoing	Chief Officer
T8-19/20		REQ – Timescale extended to Sept'23 Cross ref T11/T17	Corporate Business Manager
T9-19/20		REQ – Timescale extended to Nov'23	Chief Officer
T10-20/21	Workforce Planning & Organisational Development	Within timescale to deliver Mar'23	Chief Officer
T11-20/21		REQ – timescale extended to Sept'23 Cross ref: T8/17	Corporate Business Manager
T12-20/21		On going	Chief Officer
T13-21/22	Consultation, Participation & Engagement	REQ – Timescale extended to Nov'23	Chief Officer
T14-21/22		REQ – Timescale extended to Nov'23	Chief Officer
T15-19/20	Business Continuity Planning & Disaster Recovery	REQ – Timescale extended to Mar'2	Chief Officer
T16-19/20		REQ – timescale extended to Mar'23	Chief Officer
T17-21/22	Information Governance & Data Sharing	REQ – timescale extended to Sept'23 Cross ref: T11/T8	Corporate Business Manager

T18- 21/22	Scheme Review	REQ - Revised timescale extended to end of March 2023	Chief Officer
T19-21/22		REQ - Revised timescale extended to end of March 2023	Chief Officer
T20-21/22		REQ - Revised timescale extended to end of March 2023	Chief Officer
T21-21/22		REQ - to remove as complete	Chief Finance Officer

2.3.1 Quality/ Patient Care

Quality of care is not recorded within the report.

2.3.2 Workforce

There is reference to completing the IJB Workforce Planning, in relation to IJB workforce

2.3.3 Financial

There is no financial impact noted within the report,

Accountants Name	Signature

Comment from the Chief Finance Officer:

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2.3.4 Risk Assessment/Management

Risk Management is referred to within the first part of the report within the recommendations.

2.3.5 Equality and Diversity, including health inequalities

State how this supports the Public Sector Equality Duty, Fairer Scotland Duty, and the Board's Equalities Outcomes.

An impact assessment (EQIA) has not been completed because the report is not directly linked to having the summary withdrawn.

2.3.6 Climate Emergency and Sustainability Development

State how this report will support or impact on the Scottish Government's policy on Global Climate Emergency and Sustainability Development DL(2021)38.

Not applicable

2.3.7 Other impacts

No applicable

2.3.8 Communication, involvement, engagement and consultation

The Board has carried out its duties to involve and engage external stakeholders where appropriate:

This is noted within the audit recommendation and will be enacted upon,

2.3.9 Route to the Meeting

This has been previously considered by the following groups as part of its development. The groups have either supported the content, or their feedback has informed the development of the content presented in this report.

- Discussed by the IJB Audit Committee in December 2021,
- And the CMT in November and again in Dec'23

2.4 Recommendation

- **Decision** – Reaching a conclusion after the consideration of options.
- **Discussion** – Examine and consider the implications of a matter.

3 List of appendices

The following appendices are included with this report:

- Item 4.1.1 – IJB Audit Committee – 30.11.23