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INTEGRATION JOINT BOARD AUDIT COMMITTEE



Meeting date:	23 February 2023
Item:	4.2
Title:	External Audit Tracker Recommendation – Update Feb'23
Responsible Officer:	Nick Fayers, Chief Officer
Report Author:	Michelle McPhail, NHSWI Corporate Business Manager

1 Purpose

This is presented to the Integration Joint Board (IJB) for:

- Discussion

This report relates to a:

- Annual Operation Plan
- Emerging issue
- Government policy/directive
- NHS Board/Integration Joint Board Strategy or Direction

Competence:

- There are no legal, financial or other constraints associated with the report.

2 Report summary

2.1 Situation

The Audit Tracker is presented to the Audit Committee to asking Members to review and discuss the management responses and progress towards bringing each recommendation to a close.

2.2 Background

The External Audit Tracker contains 9 Audit recommendations ranging from audits undertaken between 19/20 through to 22/23.

2.3 Assessment

Tracker No	Audit	Status	Lead
T1-21/22	Scheme of Integration	Ongoing / On schedule	Chief Officer
T2-19/20	Risk Management	REQ – Complete & remove	Chief Officer
T3-20/21	Financial planning	REQ – complete & remove	Chief Finance Officer
T4-20/21	Strategic Planning	Ongoing / On schedule	Chief Officer
T5-20/21	Integration Workforce Plan	REQ – Timescale extended to Mar'23	Chief Officer
T6-19/20	Strategic Planning	Ongoing / On schedule	Chief Officer
T7-22/23	Publish papers on website	REQ: Complete & remove	Corporate Business Manager
T8-22/23	Risk Management Strategy	REQ – Timescale extended to June'23	Chief Officer
T9-22/23	Best Value	REQ – Remove as Accounts Commissioner changed process	Chief Officer

2.3.1 Quality/ Patient Care

Quality of care is not recorded within the report.

2.3.2 Workforce

There is reference to completing the IJB Workforce Planning, in relation to IJB workforce

2.3.3 Financial

There is no financial impact noted within the report,

Accountants Name	Signature

Comment from the Chief Finance Officer:

2.3.4 Risk Assessment/Management

Risk Management is referred to within the first part of the report within the recommendations.

2.3.5 Equality and Diversity, including health inequalities

State how this supports the Public Sector Equality Duty, Fairer Scotland Duty, and the Board's Equalities Outcomes.

An impact assessment (EQIA) has not been completed because the report is not directly linked to having the summary withdrawn.

2.3.6 Climate Emergency and Sustainability Development

State how this report will support or impact on the Scottish Government's policy on Global Climate Emergency and Sustainability Development DL(2021)38.

Not applicable

2.3.7 Other impacts

No applicable

2.3.8 Communication, involvement, engagement and consultation

The Board has carried out its duties to involve and engage external stakeholders where appropriate:

The recommendations are discussed with the appropriate staffing but does not require consultation with other stakeholders.

2.3.9 Route to the Meeting

This has been previously considered by the following groups as part of its development. The groups have either supported the content, or their feedback has informed the development of the content presented in this report.

- Discussed by the IJB Audit Committee in November 2022.

2.4 Recommendation

- **Decision** – Reaching a conclusion after the consideration of options.
- **Discussion** – Examine and consider the implications of a matter.

3 List of appendices

The following appendices are included with this report:

- Item 4.2.1 –IJB External Audit recommendations